

Position: (Senior) Responsible Officer (SFC Type 9)

Business nature: Financial Services

Location: Sheung Wan

Monthly package: 80 - 120K

Responsibilities:

- Responsible for the monitoring of the daily business operations to ensure full regulatory compliance
- Assist in formulating investment strategy and oversee asset investment or transaction of the company or clients
- Oversee the compliance functions of the Company and take necessary actions to ensure all business activities comply with SFC rules and company guideline
- Engage in promotion and marketing activities
- Handle any other ad-hoc duties as required

Requirements:

- Diploma or Degree in related discipline
- At least 5 years' relevant experience in Insurance/Bank/Investment/Finance
- Solid experience in new company setting
- **Qualified for SFC Responsible Officer License Type 9 is a must**
- Also qualified for SFC 1, 4 and 6 is definitely an advantage
- Able to work under pressure, with strong communication and analytical skills
- Good command of written & spoken Chinese and English, including Putonghua
- Familiar with MS Office applications and Chinese word processing
- Short notice or Immediate available is highly preferred
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